Message Text

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INFO OCT-01 ISO-00 SSO-00 /026 R

DRAFTED BY NEA/IRN:CNAAS:TH
APPROVED BY NEA:SSOBER
T:LBROWN
PM:RERICSON (SUBS)
DOD/DSAA:EVONMARBOD (SUBS)
S/S:RPERITO
H/D GELBER

-----014510 130430Z/21

O 130400Z AUG 77 ZFF4 FM SECSTATE WASHDC TO AMEMBASSY TEHRAN NIACT IMMEDIATE

SECRETSTATE 192466

EXDIS - FOR AMBASSADOR FROM SOBER

E.O. 11652: GDS

TAGS: PFOR, US, IR

SUBJECT: AWACS ASSURANCES

1. FOLLOWING IS TEXT OF PROPOSED "ASSURANCES" WHICH MAY BE DELIVERED BY ADMINISTRATION TO THE CONGRESS WHEN AWACS CASE IS RESUBMITTED AFTER LABOR DAY. ALTHOUGH THIS DRAFT HAS BEEN CAREFULLY DEVELOPED BY DOD-STATE WORKING TEAM, IT HAS NOT REPEAT NOT BEEN CLEARED AT ANY POLICY-MAKING LEVEL. AT THIS POINT WE WOULD LIKE TO HAVE YOUR PERSONAL REACTION AND ALSO YOUR ESTIMATE AS TO ACCEPTABILITY TO GOI OF THESE ASSURANCES. YOU SHOULD OF COURSE NOT SHARE FOLLOWING TEXT WITH IRANIANS AT THIS POINT. IN ADDITION TO ANY SUGGESTIONS YOU MAY HAVE, WE MAY NEED TO CONSIDER CHANGES AS RESULT OF FORTHCOMING SECRET

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INFORMAL CONSULTATIONS WITH PEOPLE ON THE HILL AND POSSIBLY ALSO GAO.

2. APPRECIATE RECEIVING YOUR COMMENTS BY WASHINGTON OPENING OF BUSINESS, MONDAY, AUGUST 15.

ASSURANCES, WHICH ARE KEYED TO THE HUMPHREY LETTER WHICH YOU ALREADY HAVE:

BEGIN TEXT:

(1) ENCIPHERMENT GEAR AND OTHER SENSITIVE EQUIPMENT:

THE IRANIAN AWACS OR E-3K WILL BE A HIGHLY EFFECTIVE AIRBORNE SURVEILLANCE SYSTEM. ITS CONFIGURATION WILL, HOWEVER, BE SOMEWHAT MORE AUSTERE THAN THE USAF ENHANCED VERSION INSOFAR AS SENSITIVE EQUIPMENT IS CONCERNED.

US GOVERNMENT ENCIPHERMENT GEAR (KG-40) WILL NOT BE INCLUDED ON THE AWACS SOLD TO IRAN. (ENCIPHERMENT EQUIPMENT SCRAMBLES THE ELECTRONIC SIGNALS WHICH TRANSMIT DATA BETWEEN THE AIRCRAFT AND GROUND STATIONS OR WITH OTHER AIRCRAFT SO THAT THE SECURITY OF THE INFORMATION CANNOT BE COMPROMISED.) THE US COMMUNICATIONS SECURITY BOARD, AN INTERAGENCY BODY, HAS RULED THAT EFFICIENT AND SECURE ENCIPHERMENT EQUIPMENT FOR THE AWACS FOR IRAN CAN AND SHOULD BE PROVIDED FROM US COMMERCIAL SOURCES.

THE U.S. BUREAU OF STANDARDS HAS PRODUCED DATA ENCRYPTION STANDARDS FOR THE INDUSTRY TO USE IN COMMERCIAL VENTURES SUCH AS THE ENCIPHERMENT GEAR TO SECRET

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BE ACQUIRED FOR THE IRANIAN AWACS. THOSE STANDARDS PROVIDE A HIGH LEVEL OF SECURITY.

COMPARISON OF US AND IRANIAN AWACS CONFIGURATIONS:

US ENHANCED:E 3A IRAN: E-3K

DATA PROCESSOR 2 ACUS 2 ACUS
11 16 THOUSAND 7 16 THOUSAND
WORD MSUS WORD MSUS
3 MMDS 2 MMDS

TRACK INITIATION MANUAL MANUAL

TRACK CAPACITY 100 PERCENT 100 PERCENT

DATA DISPLAY 12 CONSOLES 9 CONSOLES

ELECTRONIC WAR-FARE SUPPORT

MEASURES NO NO

SELF DEFENSE

SUBSYSTEM HARD POINTS, RWR NO

MARITIME SURVEILLANCE SYSTEM YES YES

JTIDS YES NO

ADDITIONAL ECCM YES NO

COMMUNICATIONS 14 UHF 14 UHF

3 VHF-AM 2 VHF-AM 1 VHF-AM

SECRET

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2 HF 2 HF
TADIL-A TADIL-A
TADIL-C TADIL-C

IFF AN/APX-103 AN/APX-103 (LESS

NON-RELEASABLE ECCM

FUNCTIONS)

DISPLAY REMOTING

SYSTEM YES NO

ACU - ARITHMETIC CONTROL UNIT

MSU - MAIN STORAGE UNIT

MMD - MASS MEMORY DRUM

JTIDS - JOINT TACTICAL INFORMATION DISTRIBUTION SYSTEM

RWR - RADAR WARNING RECEIVER

IFF - IDENTIFICATION FRIEND OR FOE

ECCM - ELECTRONIC COUNTER-COUNTER MEASURES

THUS, FROM THE ABOVE INFORMATION, THE CORE AWACS

EQUIPMENT DELETIONS FOR THE IRANIAN AWACS ARE:

-ENCIPHERMENT EQUIPMENT - SA PROVIDED (US); COMMERCIAL (NSA APPROVED) (IRAN).

-IFF SUBSYSTEM - AN/APX-103(US); AN/APX-103 LESS MODE 4 (WHICH PROVIDES SECURE CAPABILITY) AND LESS NON-INHERENT ECCM FEATURES (IRAN).

IT SHOULD ALSO BE NOTED FROM THE FIRST CHART ABOVE THAT THE IRANIAN AWACS WILL INCLUDE THE FOLLOWING EQUIPMENT SECRET

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NOT INCLUDED IN THE USAF AWACS: RAPIER MODIFICATION (TO ENHANCE AWACS/RAPIER ELECTROMAGNETIC COMPATIBILITY);
MARITIME SURVEILLANCE ENHANCEMENT (FOR DETECTION/TRACKING

OF SURFACE VESSELS IN OPERATIONAL SEA STATES); AND WING HARDPOINTS FOR CHAFF/FLARE PODS (FOR EVOLVING THREAT SELF-DEFENSE ENHANCEMENT); BUT NOT HARD POINTS FOR RWR.

FOLLOWING IS BACKGROUND INFORMATION ABOUT THE EQUIPMENT WHICH WILL BE ON THE USAF ENHANCED AWACS, BUT NOT ON THE IRANIAN VERSION:

- DISPLAY REMOTING: WILL PROVIDE THE TOTAL "BIG PICTURE", AS SEEN ON E-3A DISPLAY CONSOLE, TO GROUND-BASE; DECISION AUTHORITIE.
- JTIDS: WILL PROVIDE A COMMUNICATIONS SYSTEM WHICH IS JAM-RESISTANT, SECURE, AND HAS THE CAPABILITY TO RAPIDLY COLLECT AND DISSEMINATE INFORMATION TO ALL COMMAND AND CONTROL ELEMENTS AND FIGHTER SUPPORT AIRCRAFT. THE TADIL A LINK, WHICH WILL BE ON THE IRANIAN VERSION, IS LIMITED IN THE NUMBER OF SUBSCRIBERS, LACKS ANTI-JAM CAPABILITY AND HAS A MUCH LOWER DATA TRANSFER RATE THAN WILL BE AVAILABLE FROM JTIDS.
- EXPANDED C3/SIGINT: WILL EXPAND THE PRESENT CAPABILITIES TO MEET THE 1980 PROJECTED ENVIRONMENT. NUMBER OF CONSOLES IS INCREASED FROM 9 TO 12. RADIOS ARE INCREASED FROM 14 UHF AND 2 HF TO 17 UH AND 3 HF. ONE CONSOLE, ALONG WITH A SECURE HF RADIO, IS DEDICATED

TO THE SIGINT POSITION AND USED TO EXCHANGE INFORMATION WITH AN EXTERNAL FILTER CENTER. TRACK CAPACITY WILL BE DOUBLED FROM THE PRESENT 100.

- ADDITIONAL ECCM: INTENDED TO MAINTAIN THE MARGIN OF SECRET

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ECCM SUPERIORITY WHICH THE CORE CONFIGURATION E-3A POSSESSES OVER EXISTING JAMMERS AS THE ECM THREAT BECOMES MORE SOPHISTICATED. PROVIDES FOUR FEATURES: INCREASED NUMBER OF OPERATING FREQUENCIES, FREQUENCY SPOOFER, GATED GUARD CHANNEL AND A DISPLAY CONSOLE.

- SELF DEFENSE SUBSYSTEM: WILL INCLUDE A RADAR WARNING RECEIVER (RWR).

THE DELETION OF CERTAIN EQUIPMENT FROM THE IRANIAN AWACS, TOGETHER WITH THE INCORPORATION OF THE ENHANCEMENTS OUTLINED ABOVE IN THE USAF AWACS, SIGNIFICANTLY MINIMIZES THE RISK OF USAF AWACS PERFORMANCE DEGRADATION RESULTING FROM ANY IRANIAN AWACS SECURITY COMPROMISE. IF THE SOVIETS SHOULD ACQUIRE THE IRANIAN AWACS, THE USAF AWACS, SUPPLIED WITH THE ADDITIONAL EQUIPMENT DESCRIBED ABOVE, WOULD STILL BE A SUPERIOR SYSTEM ABLE TO COUNTER SOVIET ACTIVITIES.

(2) SECURITY PRECAUTIONS.

WE WILL BE TAKING SPECIAL SECURITY PRECAUTIONS FOR THE IRANIAN AWACS, IN ADDITION TO THE IRANIAN AND U.S.-IRANIAN SECURITY ARRANGEMENTS WHICH HAVE PROVIDED SATISFACTORY PROTECTION FOR ALL U.S. MILITARY EQUIPMENT PREVIOUSLY SOLD TO TRAN.

THE FRAMEWORK FOR THOSE SPECIAL AND NORMAL SECURITY PRECAUTIONS IS THE U.S.-IRANIAN AGREEMENT OF JUNE 6, 1974 ON THE SAFEGUARDING OF CLASSIFIED INFORMATION. IT PROVIDES THAT STRINGENT SECURITY PRECAUTIONS MUST BE OBSERVED BY IRAN WITH RESPECT TO CLASSIFIED INFORMATION RECEIVED FROM THE UNITED STATES. "INFORMATION- IS SECRET

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Message Attributes

Automatic Decaptioning: Z

Capture Date: 22-Sep-1999 12:00:00 am

Channel Indicators: n/a

Current Classification: UNCLASSIFIED

Concepts: AWACS, AIR DEFENSE, US CONGRESSIONAL PRESENTATIONS, MILITARY SALES,

Control Number: n/a

Copy: SINGLE
Sent Date: 13-Aug-1977 12:00:00 am
Decaption Date: 22 May 2009
Decaption Note: 25 YEAR REVIEW

Disposition Action: RELEASED Disposition Approved on Date:
Disposition Case Number: n/a
Disposition Comment: 25 YEAR REVIEW

Disposition Date: 22 May 2009 Disposition Event:

Disposition History: n/a
Disposition Reason:
Disposition Remarks:
Document Number: 1977STATE192466

Document Source: ADS Document Unique ID: 00 Drafter: NEA/IRN:CNAAS:TH Enclosure: n/a Executive Order: 11652 GDS

Errors: n/a **Expiration:**

Film Number: D770292-0277

Format: TEL From: STATE **Handling Restrictions:**

Image Path: ISecure: 1

Legacy Key: link1977/newtext/t197708117/baaaetnb.tel

Line Count: 234 Litigation Code IDs: Litigation Codes:

Litigation History:
Locator: TEXT ON-LINE, TEXT ON MICROFILM

Message ID: ea8ab350-c288-dd11-92da-001cc4696bcc Office: ORIGIN SS

Original Classification: SECRET
Original Handling Restrictions: EXDIS
Original Previous Classification: n/a
Original Previous Handling Restrictions: n/a

Page Count: 5
Previous Channel Indicators:

Previous Classification: SECRET
Previous Handling Restrictions: EXDIS

Reference: n/a Retention: 0

Review Action: RELEASED, APPROVED Review Content Flags: Review Date: 18-Mar-2005 12:00:00 am

Review Event:

Review Exemptions: n/a **Review Media Identifier:** Review Release Date: n/a Review Release Event: n/a **Review Transfer Date:** Review Withdrawn Fields: n/a

SAS ID: 1445709 Secure: OPEN Status: NATIVE

Subject: AWACS ASSURANCES TAGS: PFOR, MASS, US, IR

To: TEHRAN NIACT

Type: TE

vdkvgwkey: odbc://SAS/SAS.dbo.SAS_Docs/ea8ab350-c288-dd11-92da-001cc4696bcc

Review Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 22 May 2009

Markings: Margaret P. Grafeld Declassified/Released US Department of State EO Systematic Review 22 May 2009